

JUN 07 2007

Application Serial No. 10/823,105
Information Disclosure StatementPATENT
Docket: CU-3682**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application: Shunsuke KOBAYASHI et al.] GRP ART UNIT: 1772
Serial No: 10/823,105] Ex.: Hon, Sow Fun
Filed: April 13, 2004]

For: LIQUID CRYSTAL-SOLUBLE PARTICLE, METHOD FOR
MANUFACTURING THE SAME AND LIQUID CRYSTAL DEVICE ELEMENT

Certification under 37 C.F.R. §1.8(a)

The USPTO Central Fax No. (571) 273-8300

Date of Fax Transmittal: June 7, 2007

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted to the United States Patent and Trademark Office to the fax number and on the date indicated above.


Name: Ryan Bradtke

THE COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT AFTER
MAILING DATE OF FINAL ACTION OR NOTICE OF ALLOWANCE
BUT BEFORE PAYMENT OF ISSUE FEE (37 CFR 1.97 (d))**

This information disclosure statement submitted herewith is being filed after the mailing date of a final Office action under § 1.113 or a notice of allowance under § 1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.

CERTIFICATION, PETITION AND FEE

In accordance with the requirement of 37 CFR 1.97(d), accompanying this transmittal is a **certification** as specified in 37 CFR 1.97(e). Applicants hereby petition for the consideration of the accompanying information disclosure statement. 37 CFR 1.97(d)(ii). Please charge (or credit overpayment, if any) the petition fee set forth in 37 CFR 1.17 (i) in the amount of \$180.00 to our Deposit Account No. 12-0400.

Date: June 7, 2007


W. William Park, Reg. No. 55,523
Ladas & Parry
224 South Michigan Avenue
Chicago Illinois 60604
Tel. No. (312) 427-1300

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Name: Ryan BradtkeTHE COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450**CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 (e)**

This certification is being made for the Information Disclosure Statement

☒ accompanying this certification **OR**
☐ filed _____

I, the person signing below certify that

☒ each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 CFR 1.97(e)(1); **OR**☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of the statement. 37 CFR 1.97(e)(2).

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PATENT

Docket: CU-3682

The person making this certification is

(a) ☐ the inventor(s) who signs below

Signature of inventor

(type name of inventor who is signing)

(b) ☒ the attorney who signs below on the basis of the information
☐ supplied by the inventor(s),
☒ supplied by an individual designated in §1.56(c); or
☐ in the attorney's file.

Date: June 7, 2007



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INFORMATION DISCLOSURE STATEMENT

Applicants submit herewith patents, publications or other information of which the applicants are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose under 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

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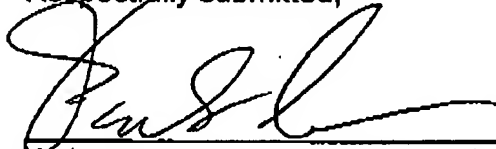
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The references submitted herein are listed on PTO-1449 form (modified) enclosed herewith. A copy of each reference listed is being furnished except any duplicate or cumulative patents or publications specified otherwise.

A translation of any foreign language reference, if any, is indicated in PTO-1449 form and being submitted herein if it is readily available. Otherwise it should be construed that such translation is not readily available.

No paper copies of the U.S. patent references identified in this IDS, if any, are submitted herewith as they are no longer required by the USPTO rules. Only the copies of foreign patent and/or other relevant publication references are submitted herewith.

Respectfully submitted,

Date: June 7, 2007

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Chicago Illinois 60604
Tel. No. (312) 427-1300

Application Serial No. 10/823,105
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Docket: CU-3682

Form PTO-1449 (Modified)

FORM PTO-1449	ATTY. DOCKET NO. CU-3682	SERIAL NO. 10/823,105
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(d))	Applicant Shunsuke KOBAYASHI et al.	
	FILING DATE April 13, 2004	GROUP 1772

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT DOCUMENT	ISSUE/PUB DATE	PATENTEE	CLASS	SUB- CLASS	FILING DATE
	US 5645758	07/08/97	Kawasumi et al.			

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAMINER INITIAL	DOCUMENT NUMBER	PUBL. DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB- CLASS	TRANSLATION YES NO	
	EP 1 060 012 B1	08/10/03	EPO				
	DE 10135114	02/13/03	Germany				

OTHER DOCUMENTS (Including Author, Title, Date, Place of publication)

			German Office Action, German Patent Appln. No. 11-2004-000-608.2-43, March 9, 2007.
EXAMINER			DATE CONSIDERED
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

(Form PTO-1449)

6-7-07